

Internal Audit in Financial Institutions

Programme Code: HGCP00P23032

27,30 & 31 March 2023 (Mon, Thu & Fri)
7:00pm – 10:00pm

9
HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- Overview of the rules and guidelines of HKMA
- Risk assessment of financial institutions
 - Types of risk
 - Role of risk management and its philosophy
 - Ways to control/eliminate risk
- Internal audit function in financial institutions
 - Scope nature and objective
 - Relationship between internal audit and external audit
 - The profession of internal auditing
- Audit process and audit techniques
 - Planning and Survey, Resources allocation, Reporting Monitoring
 - Interviewing, Documentation, Sampling and Confirmation
- Common bank fraud cases
- “Red Flags” sharing
- Common Control Measures

Speaker Introduction

The speaker has about 25 years of experience in trade finance and internal audit departments. He currently works with one of the major local banks as a Senior Audit Manager. Being the Certified Banker of the Hong Kong Institute of Bankers, as a Certified Internal Audit and a Certified Information Systems Auditor, the speaker has substantial training experience in Internal Audit, Accounting, Banking Practice and Commercial Banking.



APPLY NOW!

Enquiry :
(852) 2153 7800
programme@hkib.org
<https://hkib.org/page/185>

Target Audience

1. **Banking Practitioners** (with less than 5 years of experience in internal audit)
2. **External Auditors or Accountants**
3. **Consultants or Other Professionals**
4. **HKIB Professional Qualification holders :**

CAMLP **AAML**P **CRWP** **ARWP**
ACsP **ACRP** **CORP** **AORP**
CCRP (CL) **CCRP (CPM)**



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

13 March 2023 (Monday)

Application DEADLINE

20 March 2023 (Monday)

PRICE LIST

Early Bird

Regular Price

Individual
Member
HKD
2,700

HKD2,970

Staff of
Corporate
Member
HKD
3,240

HKD3,560

Non-
Member
HKD
3,600

HKD3,960